

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Eric N. WILLIAMS, et al.

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: METHOD AND SYSTEM FOR PROVIDING PROMOTIONS TO A CUSTOMER BASED...

GAU: #4

EXAMINER:



INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

-SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Robert C. Mattson
Registration No. 42,850

Edwin D. Garlepp
Registration No. 45,330



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

RCM:EDG:fbl
I:\atty\edg\7791\199457\199457.ids.trans.form.wpd

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 199457US-25		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Eric N. WILLIAMS, et al.			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,687,322	11-11-97	DEATON, ET AL.			
	AB	5,781,909	07-14-98	LOGAN, ET AL.			
	AC	6,055,573	04-25-00	GARDENSWARTZ, ET AL.			
	AD	6,119,104	09-12-00	BRUMBELOW, ET AL.			
	AE	5,237,157	08-17-93	KAPLAN			
	AF	5,822,735	10-13-98	DE LAPA, ET AL.			
	AG	4,567,359	01-28-86	LOCKWOOD			
	AH	4,750,119	06-07-88	COHEN, ET AL.			
	AI	5,025,372	06-18-91	BURTON, ET AL.			
	AJ	5,173,851	12-22-92	OFF, ET AL.			
	AK	5,256,863	10-26-93	FERGUSON, ET AL.			
	AL	5,576,951	11-19-96	LOCKWOOD			
	AM	5,765,142	06-09-98	ALLRED, ET AL.			
	AN	5,761,648	06-02-98	GOLDEN, ET AL.			
	AO	5,873,071	02-16-99	FERSTENBERG, ET AL.			
	AP	5,974,399	10-26-99	GIULIANI, ET AL.			
	AQ	6,061,660	05-09-00	EGGLESTON, ET AL.			
	AR	6,070,147	05-30-00	HARMS, ET AL.			
	AS	6,073,112	06-06-00	GEERLINGS			
	AT	6,076,068	06-13-00	DELAPA, ET AL.			
	AU	6,078,893	06-20-00	OUIMET, ET AL.			
	AV	6,092,725	07-25-00	SWARTZ, ET AL.			
	AW	6,112,988	09-05-00	POWELL			
	AX	6,119,099	09-12-00	WALKER, ET AL.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AY						
	AZ						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RCM:EDG:fbl

I:\atty\edg\7791\199457\199457.ids.1449.wpd